



## Evolutionary changes of heterogametic sex in the phylogenetic history of amphibians

David M. Hillis<sup>1</sup> and David M. Green<sup>2</sup>

<sup>1</sup>*Department of Zoology, University of Texas Austin, TX 78712-1064 USA;*

<sup>2</sup>*Redpath Museum, McGill University, Montreal, PQ, H3A 2K6, Canada*

**Key words:** Amphibians; cytogenetics; phylogeny; sex determination.

### Abstract

A survey of sex-determining systems in amphibians revealed widespread variation in the heterogametic sex and the extent of sex chromosome differentiation. Systems of sex determination have now been resolved in 63 species of salamanders and frogs. Species with ZW female heterogamety, OW female heterogamety, and XY male heterogamety have all been reported. A phylogenetic analysis suggests that the ancestral state for amphibians was female heterogamety. XY/XX sex determination has evolved independently at least seven times, whereas there is only one case in which the data suggest that male heterogamety has subsequently reversed to female heterogamety. Differentiation of heteromorphic sex chromosomes in Amphibia has occurred repeatedly. A model of an ancestral two-locus sex-determination mechanism, based on the findings of the phylogenetic analysis and the condition found in the primitive frog *Leiopelma hochstetteri*, allows a recessive mutation to result in the eventual acquisition of male heterogamety. Reversal to female heterogamety requires *de novo* evolution of a functional control locus under the model. The model is consistent with all information on sex determination in amphibians, and explains the observed bias in evolution from ZW/ZZ to XY/XX systems.

### Introduction

Although generally unrecognized even 10 years ago, the variability of heterogametic sex in amphibians is now apparent and has been noted by several recent authors (Bull, 1983; Schmid, 1983; Duellman and Trueb, 1986). Both male and female heterogametic systems are known, and there is enormous variation in the degree of sex-chromosome heteromorphism. The extent of heterogametic variation and sex-chromosome variation in amphibians (as well as the recent recognition of

this variation) is surprising. As late as 1979, Morescalchi (1979) stated that the existence of heterochromosomes in the Anura, as purportedly shown by Singh (1974) or Yadav and Pillai (1976), remained to be convincingly demonstrated. Until 1980, only Morescalchi's (1964) study of *Discoglossus pictus* seemed to adequately demonstrate the existence of a heteromorphic sex-chromosome pair in a frog. For salamanders, Morescalchi (1979) could cite only information personally obtained from J. Kezer, some of which was published by Leon and Kezer (1978), indicating XY sex-chromosome heteromorphisms in some bolitoglossine salamanders. Since 1979, however, our knowledge of sex-determination systems and sex-chromosome heteromorphisms in the Amphibia has grown enormously. Even by 1983, Schmid (1983) listed 25 species of amphibians (5 frogs and 20 salamanders) with heteromorphic sex chromosomes. Now some 9 frog and 34 salamander species are known to have XY/XX, ZW/ZZ or OW/OO heteromorphic sex chromosome systems allowing considerable extension of Schmid's (1983) analysis. In 20 additional species, heterogamety has been verified by indirect genetic means.

Sex-chromosome heteromorphism in amphibians ranges from a lack of any cytologically discernable difference between the sex chromosomes to such extreme cases of chromosomal heteromorphism as have been seen in the hylid frogs *Gastrotheca riobambae* and *Gastrotheca pseustes* (Schmid et al., 1984; Schmid, 1989), various species of salamanders of the genera *Oedipina* and *Necturus* (Sessions, 1984; Sessions and Wiley, 1985), and the primitive frog *Leiopelma hochstetteri* (Green, 1988b). These differences have made it possible to determine the heteromorphic sex by cytogenetic means. In some other species, chromosome banding techniques have allowed less obvious cases of sex-chromosome heteromorphism to be diagnosed (Green, 1988a; Schmid, 1983, 1989; Sessions, 1982). Many species of amphibians, though, do not possess discernably different sex chromosomes. The heterogametic sex in some of these species has been discovered by more laborious means employing the breeding of sex-reversed individuals (Chang and Witschi, 1955, 1956; Panse, 1942; Kawamura and Nishioka, 1977), genetic sex-linkage studies (Elinson, 1981; Wright and Richards, 1983) or, in a few cases, by immunological studies employing H-Y antigen (Wachtel et al., 1975; Zaborski, 1979). In all, the heterogametic sex has been diagnosed in 63 species of amphibians, covering a wide range of taxa, although, unfortunately, no caecilians are included in this group.

Bull (1983) made a plea for studies of the origins and ancestries of male and female heterogamety in well-known groups of organisms, contending that such studies should be useful in determining biases in the origin and maintenance of particular forms of heterogamety. Although identification of specific examples of male and female heterogamety have continued to accumulate in a wide variety of organisms, there have been no attempts to trace the history of change in heterogametic sex within any variable group of species. Considering the recent growth in our knowledge of sex determination in amphibians, they would seem to be a particularly useful group for this sort of analysis. The relationship between heterogametic sex in amphibians and their phylogeny has previously not been studied.

Amphibians are ideal for an historical analysis of heterogametic sex not only because of the variability of heterogametic sex in the group, but also because

phylogenetic studies of most of the relevant taxa have been published. This allows the occurrence of particular sex-determination systems to be mapped onto a phylogeny of the group. In this paper, we examine the evolution of heterogametic sex among all modern amphibians in order to determine the frequency and direction of changes in this character over a history spanning some 250 million years.

### Methods

A search of the literature revealed 63 species of amphibians for which the sex-determining mechanism has been determined (Table 1). Evolutionary changes of heterogametic sex were mapped onto a phylogeny of the amphibians constructed by combining phylogenetic findings from a diversity of studies (Fig. 1 and Table 1).

The major outlines of familial relationships were drawn from recent reviews by Cannatella (1985) and Duellman and Trueb (1986). Evidence of relationships within families was combined from numerous sources (see Fig. 1). Evidence for dichotomous relationships within a few genera and among families of the suborder Neobatrachia are as yet unresolved (Fig. 1). Equivocal relationships were figured as polytomies. Fig. 1 only shows cladogenic relationships; lengths of the branches are arbitrary.

After the phylogenetic tree was constructed, heterogamety character states were mapped to the phylogeny in the most parsimonious arrangement (in other words, in the fewest number of possible changes; Fitch, 1971). We were conservative in mapping character changes in polytomous portions of the tree; only the minimum number of changes possible under any resolution of the polytomy was mapped. Only the polytomy that involved the families of Neobatrachia posed such a problem. Among these families, a resolution of Hylidae and Leptodactylidae as sister groups compared to the Bufonidae and Ranidae would require only a single change in heterogametic sex among these families, whereas any other resolution would require two separate changes (Fig. 1).

### Results

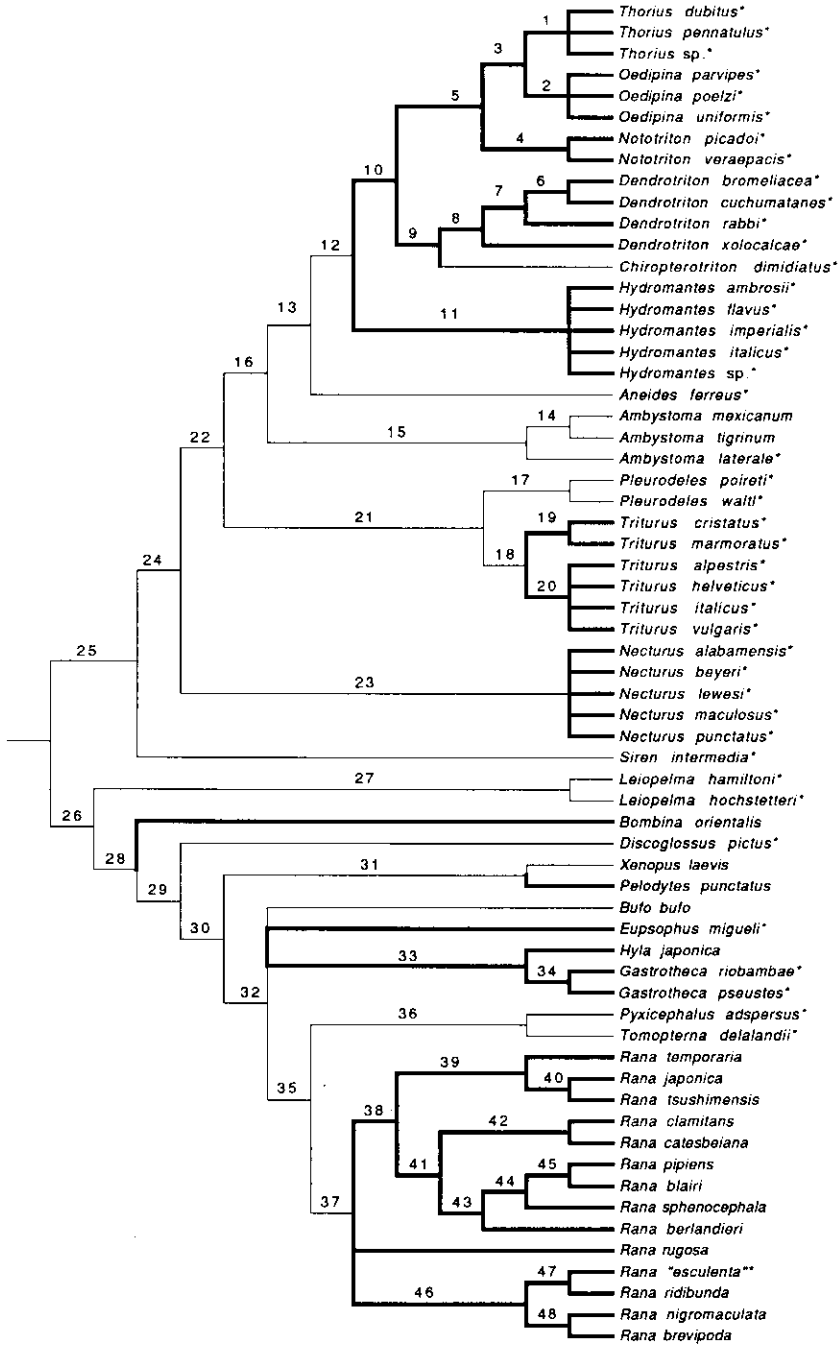
The most parsimonious explanation for the evolution of sex-determining mechanisms in amphibians (Fig. 1) indicates that female heterogamety is the ancestral condition for amphibians and that male heterogamety has subsequently arisen at least seven times independently. Male heterogamety, reported from 48 of 63 species, has been more commonly found among amphibians than female heterogamety, but this appears largely to be a result of uneven sampling among lineages. For instance, both the bolitoglossine salamanders and frogs of the genus *Rana* have been relatively extensively sampled and appear to be nearly fixed for male heterogamety (Fig. 1 and Table 1). In contrast, other similarly speciose groups, such as salamanders in the tribe Plethodontini and toads of the genus *Bufo*, are each represented in the analysis by only a single species in which female heterogamety has been determined. However, our analysis did reveal a significant bias toward the evolution

**Table 1.** Sex-determining mechanisms of amphibians. Methods of determination of the heterogametic sex are indicated in parenthesis following the mechanism: C (cytological), G (genetic), and I (immunologic).

| Taxon                              | Mechanism    | References                                       |
|------------------------------------|--------------|--|
| <b>Order Caudata</b>               |              |  |
| Family Ambystomatidae              |              |  |
| <i>Ambystoma laterale</i>          | ZW (C)       | Sessions, 1982                                   |
| <i>A. mexicanum</i>                | ZW (G, I)    | Humphrey, 1942, 1945, 1957; Zaborski, 1979       |
| <i>A. tigrinum</i>                 | ZW (G)       | Humphrey, 1942, 1945, 1957                       |
| Family Plethodontidae              |              |  |
| Tribe Bolitoglossini               |              |  |
| <i>Chiropterotriton dimidiatus</i> | ZW (C)       | Sessions, 1984                                   |
| <i>Dendrotriton bromeliacea</i>    | XY (C)       | Leon and Kezer, 1978                             |
| <i>D. cuchumatanes</i>             | XY (C)       | Leon and Kezer, 1978                             |
| <i>D. rabbi</i>                    | XY (C)       | Leon and Kezer, 1978                             |
| <i>D. xoloccalcae</i>              | XY (C)       | Sessions, 1984                                   |
| <i>Hydromantes ambrosii</i>        | XY (C)       | Nardi et al., 1986                               |
| <i>H. flavus</i>                   | XY (C)       | Nardi et al., 1986                               |
| <i>H. imperialis</i>               | XY (C)       | Sessions, 1984; Nardi et al., 1986               |
| <i>H. italicus</i>                 | XY (C)       | Sessions, 1984; Nardi et al., 1986               |
| <i>H. sp.</i>                      | XY (C)       | Nardi et al., 1986                               |
| <i>Nototriton picadoi</i>          | XY (C)       | Leon and Kezer, 1978; Sessions, 1984             |
| <i>N. veraepacis</i>               | XY (C)       | Sessions, 1984                                   |
| <i>Oedipina parvipes</i>           | XY (C)       | Sessions, 1984                                   |
| <i>P. poelzi</i>                   | XY (C)       | Leon and Kezer, 1978; Sessions, 1984             |
| <i>O. uniformis</i>                | XY (C)       | Leon and Kezer, 1978                             |
| <i>Thorius dubitus</i>             | XY (C)       | Leon and Kezer, 1978                             |
| <i>T. pennatululus</i>             | XY (C)       | Leon and Kezer, 1978                             |
| <i>T. sp.</i>                      | XY (C)       | Sessions, 1984                                   |
| Tribe Plethodontini                |              |  |
| <i>Aneides ferreus</i>             | ZW (C)       | Kezer and Sessions, 1979                         |
| Family Proteidae                   |              |  |
| <i>Necturus alabamensis</i>        | XY (C)       | Sessions and Wiley, 1985                         |
| <i>N. beyeri</i>                   | XY (C)       | Sessions and Wiley, 1985                         |
| <i>N. lewesi</i>                   | XY (C)       | Sessions and Wiley, 1985                         |
| <i>N. maculosus</i>                | XY (C)       | Sessions, 1980; Sessions and Wiley, 1985         |
| <i>N. punctatus</i>                | XY (C)       | Sessions and Wiley, 1985                         |
| Family Salamandridae               |              |  |
| <i>Pleurodeles poiretti</i>        | ZW (C, G)    | Gallien, 1954; Lacroix, 1970                     |
| <i>P. waltl</i>                    | ZW (C, G, I) | Gallien, 1954; Lacroix, 1986a, b; Zaborski, 1979 |
| <i>Triturus alpestris</i>          | XY (C, G)    | Mancino et al., 1977;                            |
| <i>T. cristatus</i>                | XY (C)       | Schmid, 1983                                     |
| <i>T. helveticus</i>               | XY (C)       | Schmid et al., 1979                              |
| <i>T. italicus</i>                 | XY (C)       | Mancino et al., 1977                             |
| <i>T. marmoratus</i>               | XY (C)       | Schmid, 1983                                     |
| <i>T. vulgaris</i>                 | XY (C, G)    | Mancino et al., 1979                             |
| Family Sirenidae                   |              |  |
| <i>Siren intermedia</i>            | ZW (C)       | Leon and Kezer, 1974                             |

Table 1 (continued)

| Taxon                         | Mechanism | References   |
|-------------------------------|-----------|--|
| <b>Order Anura</b>            |           |  |
| Family Bombinatoridae         |           |  |
| <i>Bombina orientalis</i>     | XY (G)    | Kawamura and Nishioka, 1977  |
| Family Bufonidae              |           |  |
| <i>Bufo bufo</i>              | ZW (G)    | Panse, 1942  |
| Family Discoglossidae         |           |  |
| <i>Discoglossus pictus</i>    | ZW (C)    | Morescalchi, 1964  |
| Family Hylidae                |           |  |
| <i>Gastrotheca riobambae</i>  | XY (C)    | Schmid, 1983   |
| <i>Gastrotheca pseustes</i>   | XY (C)    | Schmid, 1989   |
| <i>Hyla japonica</i>          | XY (G)    | Kawamura and Nishioka, 1977  |
| Family Leiopelmatidae         |           |  |
| <i>Leiopelma hamiltoni</i>    | ZW (C)    | Green, 1988a   |
| <i>L. hochstetteri</i>        | OW (C)    | Green, 1988b   |
| Family Leptodactylidae        |           |  |
| <i>Eupsophus migueli</i>      | XY (C)    | Itura and Veloso, 1981   |
| Family Pelodytidae            |           |  |
| <i>Pelodytes punctatus</i>    | XY (I)    | Zaborski, 1979   |
| Family Pipidae                |           |  |
| <i>Xenopus laevis</i>         | ZW (G, I) | Chang and Witschi, 1955, 1956; Gallien, 1953; Zaborski, 1979             |
| Family Ranidae                |           |  |
| <i>Pyxicephalus adspersus</i> | ZW (C)    | Engel and Schmid, 1981; Schmid, 1980b; Schmid and Bachman, 1981          |
| <i>Rana berlandieri</i>       | XY (G)    | Wright et al., 1983  |
| <i>R. blairi</i>              | XY (G)    | Wright et al., 1983  |
| <i>R. brevipoda</i>           | XY (G)    | Kawamura and Nishioka, 1977  |
| <i>R. catesbeiana</i>         | XY (G)    | Elinson, 1981  |
| <i>R. clamitans</i>           | XY (G)    | Elinson, 1981, 1983  |
| <i>R. "esculenta"</i>         | XY (C)    | Schempp and Schmid, 1981; Witschi, 1923                                  |
| <i>R. japonica</i>            | XY (G)    | Moriwaki, 1959; Kawamura and Yokota, 1959; Kawamura and Nishioka, 1977   |
| <i>R. nigromaculata</i>       | XY (G)    | Kawamura, 1939; Kawamura and Nishioka, 1977                              |
| <i>R. pipiens</i>             | XY (G, I) | Richards and Nace, 1978; Wachtel et al., 1975; Wright and Richards, 1983 |
| <i>R. rugosa</i>              | XY (G)    | Kawamura and Nishioka, 1977  |
| <i>R. ridibunda</i>           | XY (G, I) | Kawamura and Yokota, 1959; Zaborski, 1979                                |
| <i>R. sphenoccephala</i>      | XY (G)    | Wright et al., 1983  |
| <i>R. temporaria</i>          | XY (G)    | Schempp and Schmid, 1981; Witschi, 1923                                  |
| <i>R. tsushimensis</i>        | XY (G)    | Kawamura and Nishioka, 1977  |
| <i>Tomopterna delalandii</i>  | ZW (C)    | Schmid, 1980b  |



of male heterogamety from female heterogamety. If the analysis is correct, changes from ZW to XY have occurred at least seven times, whereas there is only one case of a change in the reverse direction.

## Discussion

### *Identification of sex-determination systems in Amphibia*

All species of amphibians studied to date exhibit heterogametic sex determination. Polyfactorial sex determination, environmental sex determination, and arrhenotoky are unreported as standard means of sex determination in amphibians. Although several hundred species of amphibians have been karyotyped (Morescalchi, 1973; Duellman and Trueb, 1986), morphological divergence of sex chromosomes is usually minor in amphibians and their sex chromosomes rarely can be identified using traditional cytogenetic techniques (Morescalchi, 1971, 1973, 1975, 1979; Schmid, 1980a). The advent of chromosome banding methods and their refinement for application with amphibian chromosomes has increased the ability to detect sex chromosome heteromorphisms (Schmid, 1983, 1989; Green, 1988). However, identification of the heterogametic sex in some amphibians has been possible only by the use of sex-reversal experiments (Panse, 1942; Chang and Witschi, 1955; Schmid, 1983).

Although multiple reports of heterogametic sex have been consistent for most species, both male and female heterogamety have been reported for *Triturus cristatus* and *T. marmoratus*. The reports of female heterogamety in these species were based on reports of morphological asymmetry of lampbrush bivalent I, together with a lack of chiasmata between two supposedly heteromorphic segments in females (Callan and Lloyd, 1956; 1960; Mancino and Nardi, 1971; Mancino et al., 1972). However, Mancino et al. (1973) reported that both male and female C-banded karyotypes have a Giemsa-positive segment that corresponds to the "heteromorphic" region on lampbrush bivalent I, and Mancino et al. (1977) concluded that this region has the same morphology and behavior at meiosis in both sexes. Additional banding studies by Schmid (1983) have revealed that these two species exhibit male

---

**Fig. 1.** Phylogenetic tree of amphibian species for which the sex determination system is known. The thin branches indicate lineages with female heterogamety; the thick branches indicate lineages with male heterogamety. The taxa with asterisks have heteromorphic sex chromosomes. Numbers on branches indicate references in support of the tree as follows: 1–5) Wake and Elias, 1983; 6–8) Lynch and Wake, 1975; 9) Wake and Elias, 1983; 10) Elias and Wake, 1983; 11) Wake et al., 1978; 12) Wake 1966; 13) Wake, 1966; 14) Shaffer, 1984; 15) Tihen, 1969; 16) Duellman and Trueb, 1986; 17) Thorn, 1968; 18–20) Bucci-Innocenti et al., 1983; 21) Wake and Ozeti, 1969; 22–23) Duellman and Trueb, 1986; 24) Estes, 1981; 25–27) Duellman and Trueb, 1986; 28–32) Cannatella, 1985; 33) Duellman and Trueb, 1986; 34) Duellman and Hillis, 1987; 35) Dubois, 1981; 36) Clarke, 1981; 37) Wallace et al., 1973; 38) Case, 1978; 39) Wallace et al., 1973; 40) Kawamura and Nishioka, 1977; 41–42) Hillis and Davis, 1986; 43–45) Hillis et al., 1983; 46) Wallace et al., 1973; 47) Uzzell et al., 1977; 48) Kawamura and Nishioka, 1978.



heterogamety, although citations of the earlier reports in support of female heterogamety persist (e.g., Duellman and Trueb, 1986). This example suggests that other reports of sex-chromosome differentiation based on limited samples (e. g., the report of heteromorphic chromosomes in female *Siren intermedia*; Leon and Kezer, 1974) should be verified.

Early reports of the unbanded karyotype of the salamander, *Necturus maculosus* (Seto et al., 1964; Morescalchi, 1975), failed to identify heteromorphic sex chromosomes, although King (1912) had long before described the occurrence of a heteromorphic spermatogenic bivalent. Using banding techniques, Sessions (1980) clearly demonstrated the XY heteromorphic sex chromosomes of this species, and later (Sessions and Wiley, 1985) showed that XY heteromorphism occurs in all species of *Necturus*. Thus, even reports that failed to find sex chromosomes in amphibians may be open to reconsideration. Earlier authors not only did not have access to present chromosome banding methods but may have been working under the paradigm that amphibians did not have heteromorphic sex chromosomes.

Other difficulties may exist among some early reports of sex chromosomes in frogs. The first report of heteromorphic sex chromosomes in a frog, *Hyla japonica* (Yosida 1957), was considered inconclusive by Morescalchi (1964), but heterogamety in males of this species was later confirmed by Kawamura and Nishioka (1977) using sex-reversal experiments. Morescalchi's (1964) report of ZW sex chromosomes in *Discoglossus pictus* remained virtually the only record of sex chromosome heteromorphism in frogs until the early 1980s. Morescalchi (1964) described a small length difference between the members of a pair of telocentric chromosomes in the female but did not indicate how many frogs he examined nor where they came from. *Discoglossus* has been subject to considerable revision recently (Lanza et al., 1984; Busack, 1986) and a number of new species have been erected. Thus, it is not known exactly which population Morescalchi (1964) actually examined. Frogs from Spain, *D. jeaneae*, recently separated from *D. pictus* by Busack (1986), show no sexual chromosome heteromorphism (Green, unpublished).

Although the phylogenetic analysis suggests that female heterogamety is the primitive condition for amphibians, the heterogametic sex has not yet been determined for a number of basal amphibian lineages (e. g., caecilians, the frog *Asca-phus*, and the salamander families Dicamptodontidae, Cryptobranchidae, and Hyonbiidae). It is conceivable that determination of the heterogametic sex in these groups could change the analysis. Therefore, cytogenetic and genetic studies of sex determination in these groups is needed to test our findings.

#### *Evolution of sex-chromosome heteromorphism*

Clear examples of closely related species with and without differentiated sex-chromosomes have been observed in other genera besides *Discoglossus*. In the leptodactylid frog *Eupsophus migueli*, metacentric Y and telocentric X chromosomes were found in males (Iturra and Veloso, 1981), but the more widespread *E. roseus* is without this heteromorphism. Even more similar karyotypes were found shared

by the frogs *Leiopelma hamiltoni* and *L. archeyi* (Green, 1988; Green and Sharbel, 1988), which are sister species. However, chromosome bands revealed a heterochromatin difference in a pair of chromosomes in female *L. hamiltoni* that was not discernable in *L. archeyi* (Green and Sharbel, 1988). Examples such as these can be useful in examining the evolution of sex-chromosome heteromorphisms.

By dint of their obligate heterozygosity in one sex, sex chromosomes are subject to more rapid evolutionary change than autosomes (Charlesworth et al., 1987). A number of mechanisms have been proposed to explain their divergence (Rice, 1987), the most widely accepted being "Muller's Ratchet" (Felsenstein, 1974; Charlesworth, 1978), which is proposed as a device to promote degeneracy of the Y or W chromosome. The progress of Y-chromosome degeneracy is amply illustrated in amphibians by the bolitoglossine salamanders and the genus *Necturus* (Leon and Kezer, 1978; Sessions, 1984; Sessions and Wiley, 1985). The mechanism, however, requires that the two sex chromosomes already be genetically divergent enough so that crossing-over between them is suppressed and so may be inadequate to explain the origination of this genetic divergence in the first place.

Frogs of the genus *Rana* have male heterogamety without differentiated sex chromosomes. The one species in which sex chromosomes can be distinguished, *R. esculenta* (Schempp and Schmid, 1981) is actually a hybrid (Berger, 1977) and so probably reflects a karyotypic difference between the two parental species, *R. lessonae* and *R. ridibunda*. In *Rana*, there is little genetic difference between sex-determining chromosomes, because genetic linkage studies (Wright et al., 1983; Elinson, 1983) indicate that the sex-determining genes are embedded among many other functional loci. From this initial condition, differentiation probably commences, in many cases, by accumulation of nonfunctional loci and heterochromatin in the W or Y chromosome. This can be seen in *Leiopelma hamiltoni* relative to *L. archeyi* (Green 1988a; Green and Sharbel, 1988) where the slightly differentiated W chromosome of *L. hamiltoni* has more heterochromatin associated with the centromere than is seen in the presumed homologue in *L. archeyi*.

Although it is expected that the development of sex chromosome differentiation may hamper change in the heterogametic system of a species (Bull and Charnov, 1985), our data can offer little to confirm or deny this hypothesis. In part, this is due to the nature of the data themselves as we have relatively little information on the heterogametic systems of species without sex-chromosome heteromorphism.

#### *Changes in heterogametic sex*

Heterogametic sex appears to be a relatively labile character among amphibians. Yet, in spite of this, changes in heterogametic sex are strongly correlated with phylogenetic history (Fig. 1), which may now provide a basis for using heterogametic sex as a phylogenetic character in amphibian systematics. If changes in both directions were equally probable and the amount of time available for each type of change were equal, then the probability of at least seven changes from ZW to XY out of eight changes in heterogametic sex is  $p < 0.0352$ . If ZW is the

ancestral state (as indicated in the phylogenetic analysis), then there has been more time available for ZW to XY changes, but the rarity of XY to ZW changes within clades with male heterogamety is noteworthy. This bias, if real, indicates either a selective advantage for male over female heterogamety in amphibians, or else a genetic or cytogenetic constraint in reverting to female heterogamety once male heterogamety has evolved.

The peculiar OW/OO sex-chromosome system in *Leiopelma hochstetteri* (Green, 1988b) is particularly intriguing as it rules out many potential mechanisms of sex determination in this species. Many models have been proposed to explain sex determination in genetic terms (Bull and Charnov, 1977; Bull, 1983; Page et al., 1987). As there is no Z chromosome in *L. hochstetteri*, any model requiring a genetic contribution from the Z, or X, chromosome can be ruled out. This precludes any recessive-X systems relying upon dosage effects to determine sex, as occur in *Drosophila* fruit flies (Baker and Lindsley, 1983) or *Caenorabditis* nematodes (Madl and Herman, 1979). *L. hochstetteri* must have a dominant-W system in which a gene on the W chromosome specifies femaleness, just as mammals have dominant-Y systems specifying maleness.

In mammals, a testis-determining factor, or TDF, gene occurs on the Y-chromosome and directs development of the embryonic bipotential gonad into a testis (Jacobs and Strong, 1959; Page et al., 1987). A potential TDF gene (designated ZFY, for zinc finger Y) has recently been cloned from humans (Page et al., 1987). A sequence homologous to this also occurs on the X-chromosome of eutherian mammals, as well as on autosomes throughout the amniotes (Bull et al., 1988; Page et al., 1987). A dominant-W system (as seen in *L. hochstetteri*) could operate in one of two ways. Perhaps the W-chromosome contains an ovary-determining factor analogous to the mammalian TDF. Or, conversely, perhaps the W-chromosome contains a regulator gene suppressing expression of a TDF-like sequence located on a pair of autosomes. If the second model is correct, it may help explain the multiple origination of male heterogametic XY systems from female heterogamety in amphibians, and the rarity of reversals to female heterogamety. If we suppose that an ancestral amphibian sex-determination system consisted of TDF genes on a pair of autosomes (allele T in Table 2) and a TDF-suppressor gene located on the W, a mutation at one of the TDF loci could give rise to a

**Table 2.** Sex of various genotypes upon the introduction of a recessive, inactive TDF (testis determining factor) allele into a population with WZ/ZZ female heterogamety, assuming that the W-chromosome carries a TDF-suppressor gene not present on the Z-chromosome. T indicates a chromosome with an active TDF allele, o indicates the homologous chromosome with a mutant TDF.

|    | WZ     | ZZ     |
|----|--------|--------|
| TT | female | male   |
| To | female | male   |
| oo | female | female |

non-functional allele (allele  $o$  in Table 2). In the heterozygous state, this recessive allele would have no effects, but in the homozygous state, it would have the effect of changing the sex of the individual from male to female (Table 2). This model of change is largely the same in effect as a mechanism proposed by Bull and Charnov (1977) to explain changes in heterogametic sex. However, this model is a restriction of the Bull and Charnov model requiring only a simple loss, by mutation, of genetic effect in a functional gene rather than the *de novo* advent of a new regulatory sequence. We apply this model specifically to amphibians where it can particularly explain the preponderance of changes from WZ heterogamety to XY heterogamety in amphibian evolution.

As a recessive, the new, non-functional TDF allele would be selectively neutral in the heterozygous state and so could easily rise in frequency within the population following its inception due to stochastic processes. Change from female to male heterogamety would occur by fixation of the Z chromosome, which is possible because ZZ individuals heterozygous at the TDF locus ( $To$ ) would be male, and those homozygous for the new non-functional allele ( $oo$ ) would be female (Table 2). Bull and Charnov (1977) showed that the endpoints in this system (i. e., male and female heterogamety) are connected by a continuous series of stable equilibrium frequencies, but that stochastic processes of finite populations or fortuitous linkage to selected genes would result in eventual fixation of one of the endpoints unless intermediate genotypes have a higher fitness. However, any major mutation at the TDF locus would produce a non-functional allele, so the potential for male heterogamety is constantly introduced into lineages with female heterogamety. In contrast, once male heterogamety becomes fixed in the population, a control system for the TDF locus would have to evolve *de novo* in order to reintroduce the potential for female heterogamety. The net result would be a drive toward XY male heterogamety from ZW female heterogamety. The TDF-bearing chromosome would become a neo-Y-chromosome and the mutant TDF-bearing chromosome would become a neo-X-chromosome. Both of these new sex chromosomes would contain similar DNA sequences, as has been found in mammalian sex chromosomes (Page et al., 1987; Weissenbach, 1987).

This mechanism is specific for the change from WZ/ZZ to XY/XX, as has occurred repeatedly through the phylogenetic history of amphibians. Its specific details cannot be construed as a general model for heterogametic change throughout the animal kingdom. It may, however, prove useful to explain the known facts concerning the distribution of different heterogametic systems and the genetic mechanisms of sex-determination in amphibians and, possibly, other tetrapods.

### Acknowledgements

This research was supported by National Science Foundation Grants BSR 86-57640 and BSR 87-96293 to DMH and NSERC Canada Grant UO-526 to DMG. We thank J. J. Bull, D. Cannatella, and S. Sessions for helpful comments on the manuscript.

## References

- Berger, L. 1977. Systematics and hybridization in the *Rana esculenta* complex, pp. 367-388. In D. H. Taylor and S. I. Guttman (eds.), *The reproductive biology of amphibians*. Plenum Press, New York.
- Bucci-Innocenti, S., M. Raghianti, and G. Mancino. 1983. Investigations of karyology and hybrids in *Triturus boscai* and *T. vittatus*, with a reinterpretation of the species groups within *Triturus* (Caudata: Salamandridae). *Copeia* 1983: 662-672.
- Bull, J. J. 1983. Evolution of sex determining mechanisms. Benjamin/Cummings Pub., Menlo Park, California.
- Bull, J. J., and E. L. Charnov. 1977. Changes in the heterogametic mechanism of sex determination. *Heredity* 39: 1-14.
- Bull, J. J., and E. L. Charnov. 1985. On irreversible evolution. *Evolution* 39: 1149-1155.
- Bull, J. J., D. M. Hillis, and S. O'Steen. 1988. Mammalian ZFY sequences exist in reptiles regardless of sex-determining mechanism. *Science* 242: 567-569.
- Burgoyne, P. S. 1982. Genetic homology and crossing over in the X and Y chromosomes of mammals. *Hum. Genet.* 61: 85-90.
- Busack, S. D. 1986. Biochemical and morphological differentiation in Spanish and Moroccan populations of *Discoglossus* and the description of a new species from southern Spain (Amphibia, Anura, Discoglossidae). *Ann. Carnegie Mus.* 55: 41-61.
- Callan, H. G., and L. Lloyd. 1956. Visual demonstration of allelic differences within cell nuclei. *Nature* 178: 355-357.
- Callan, H. G., and L. Lloyd. 1960. Lampbrush chromosomes of crested newts *Triturus cristatus* (Laurenti). *Phil. Trans. Roy. Soc. London (B)*243: 135-219.
- Cannatella, D. 1985. A phylogeny of primitive frogs (Archaeobatrachians). Ph.D. dissertation, Univ. Kansas, Lawrence.
- Case, S. M. 1978. Biochemical systematics of members of the genus *Rana* native to western North America. *Syst. Zool.* 27: 299-311.
- Charlesworth, B. 1978. Model for the evolution of Y chromosomes and dosage compensation. *Proc. nat. Acad. Sci. USA* 75: 5618-5622.
- Charlesworth, B., J. A. Coyne, and N. H. Barton. 1987. The relative rates of evolution of sex chromosomes and autosomes. *Am. Nat.* 130: 113-146.
- Chang, C. Y., and E. Witschi. 1955. Breeding of sex-reversed males of *Xenopus laevis* Daudin. *Proc. Soc. exp. Biol. Med.* 89: 150-152.
- Chang, C. Y., and E. Witschi. 1956. Gene control and hormonal reversal of sex differentiation of *Xenopus*. *Proc. Soc. exp. Biol. Med.* 93: 140-144.
- Clarke, B. T. 1981. Comparative osteology and evolutionary relationships in the African Raninae (Anura, Ranidae). *Monit. Zool. Italiano N. S. Suppl.* 15: 285-331.
- Dubois, A. 1981. Liste des genres et sous-genres nominaux de Ranoidea (amphibiens anoures) du monde, avec identification de leurs especes-types: consequences nomenclaturales. *Monit. Zool. Italiano N. S. Suppl.* 15: 225-284.
- Duellman, W. E., and D. M. Hillis. 1987. Marsupial frogs (Anura: Hylidae: *Gastrotheca*) of the Ecuadorian Andes: Resolution of taxonomic problems and phylogenetic relationships. *Herpetologica* 43: 141-173.
- Duellman, W. E., and L. Trueb. 1986. *Biology of amphibians*. McGraw-Hill Book Co., New York.
- Elias, P., and D. B. Wake. 1983. *Nyctanolis pernix*, a new genus and species of plethodontid salamander from northwestern Guatemala and Chiapas, Mexico, pp. 1-12. In A. G. J. Rhodin and K. Miyata (eds.), *Advances in Herpetology and Evolutionary Biology*. Harvard University Press, Cambridge.
- Elinson, R. P. 1981. Genetic analysis of developmental arrest in an amphibian hybrid (*Rana catesbeiana*, *Rana clamitans*). *Devel. Biol.* 81: 167-176.
- Elinson, R. P. 1983. Inheritance and expression of a sex-linked enzyme in the frog, *Rana clamitans*. *Biochem. Genet.* 21: 435-442.

- Engel, W., and M. Schmid. 1981. H-Y antigen as a tool for the determination of the heterogametic sex in Amphibia. *Cytogenet. Cell. Genet.* 30: 130-136.
- Estes, R. 1981. Gymnophiona, Caudata. *Handb. Palaeherpetol.* 2: 1-115.
- Estes, R., and F. B. Sanchiz. 1982. New discoglossid and palaeobatrachid frogs from the late Cretaceous of Wyoming and Montana, with a review of other frogs from the Lance and Hell creek formations. *J. Vert. Paleo.* 2: 9-20.
- Felsenstein, J. 1974. The evolutionary advantage of recombination. *Genetics* 78: 737-756.
- Fitch, W. M. 1971. Toward defining the course of evolution: minimum change for a specific tree topology. *Syst. Zool.* 20: 406-416.
- Gallien, L. 1953. Inversion totale du sexe chez *Xenopus laevis* Daud. a la suite d'un traitement gynogenie par le benzoate d'oestradiol administre pendant la vie larvaire. *Compt. Rend. Acad. Sci. Paris* 237: 1565-1566.
- Gallien, L. 1954. Demonstration de l'homogamete du sexe male chez le Triton *Pleurodele waltlii* Michah. par l'etude de la descendance d'animaux a sexe physiologique inverse, apres un traitement hormonal gynogene (benzoate d'oestradiol). *Compt. Rend. Acad. Sci. Paris* 238: 402-404.
- Goodfellow, P. N., C. Banting, D. Sheer, H. H. Ropers, M. A. Caine, M. A. Ferguson-Smith, S. Povey, and R. Voss. 1983. Genetic evidence that a Y-linked gene in man is homologous to a gene on the X chromosome. *Nature* 302: 346-349.
- Green, D. M. 1988a. Heteromorphic sex chromosomes in the rare and primitive frog *Leiopelma hamiltoni* from New Zealand. *J. Heredity* 79: 165-169.
- Green, D. M. 1988b. Cytogenetics of the endemic New Zealand frog *Leiopelma hochstetteri*: Extraordinary supernumerary chromosome variation and a unique sex chromosome system. *Chromosoma* 97: 55-70.
- Green, D. M., and T. F. Sharbel. 1988. Comparative cytogenetics of the primitive frog *Leiopelma archeyi* (Amphibia, Anura). *Cytogenet. Cell Genet.* 47: 212-216.
- Hillis, D. M., and S. K. Davis. 1986. Evolution of ribosomal DNA: fifty million years of recorded history in the frog genus *Rana*. *Evolution* 40: 1275-1288.
- Hillis, D. M., J. S. Frost, and D. A. Wright. 1983. Phylogeny and biogeography of the *Rana pipiens* complex: a biochemical evaluation. *Syst. Zool.* 32: 132-143.
- Humphrey, R. R. 1942. Sex of the offspring fathered by two *Amblystoma* females experimentally converted into males. *Anat. Rec.* 82, Supp. 77: 469.
- Humphrey, R. R. 1945. Sex determination in ambystomid salamanders: a study of the progeny of females experimentally converted into males. *Am. J. Anat.* 76: 33-66.
- Humphrey, R. R. 1957. Male homogamety in the Mexican axolotl: a study of the progeny obtained when germ cells of a genetic male are incorporated in a developing ovary. *J. exp. Zool.* 134: 91-101.
- Iturra, P., and A. Veloso. 1981. Evidence for heteromorphic sex chromosomes in male amphibians (Anura: Leptodactylidae). *Cytogenet. Cell. Genet.* 31: 108-110.
- Jacobs, P. A., and J. A. Strong. 1959. A case of human intersexuality having a possible XXY sex-determining mechanism. *Nature* 183: 302-303.
- Jayakar, S. D. 1987. Some two locus models for the evolution of sex-determining mechanisms. *Theoret. Pop. Biol.* 32: 188-225.
- Kawamura, T. 1939. Artificial parthenogenesis in the frog. II. The sex of parthenogenetic frogs. *J. Sci. Hiroshima Univ., Ser. B, Div. 1*, 7:39-86.
- Kawamura, T., and M. Nishioka. 1977. Aspects of the reproductive biology of Japanese anurans, pp. 103-139. *In* D. H. Taylor and D. I. Guttman (eds.), *The reproductive biology of amphibians*, Plenum Pub., New York.
- Kawamura, T., and M. Nishioka. 1978. Descendants of reciprocal hybrids between two Japanese pond-frog species, *Rana nigromaculata* and *Rana brevipoda*. *Sci. Rept. Lab. Amphib. Biol. Hiroshima* 3: 399-419.
- Kawamura, T., and R. Yokota. 1959. The offspring of sex-reversed females of *Rana japonica* Guenther. *J. Sci. Hiroshima Univ., Ser. B., Div. 1*, 18: 31-38.

- Kezer, J., and S. K. Sessions. 1979. Chromosome variation in the plethodontid salamander, *Aneides ferreus*. *Chromosoma* 71: 65-80.
- King, H. D. 1912. Dimorphism in the spermatozoa of *Necturus maculosus*. *Anat. Rec.* 6: 405-411.
- Lacroix, J.-C. 1968a. Etude descriptive des chromosomes en ecouvillon dans le genre *Pleurodeles* (Amphibien, Urodele). *Ann. Embryol. Morph.* 1: 179-202.
- Lacroix, J.-C. 1968b. Variations experimentales ou spontanées de la morphologie et de l'organisation des chromosomes en ecouvillon dans le genre *Pleurodeles* (Amphibien, Urodele). *Ann. Embryol. Morph.* 1: 205-248.
- Lacroix, J.-C. 1970. Mise en évidence sur les chromosomes en ecouvillon de *Pleurodeles poireti* Gervais, amphibien urodele, d'une structure liée au sexe, identifiant le bivalent sexuel et marquant le chromosome W. *Compt. Rend. Acad. Sci. Paris* 271: 102-104.
- Lanza, B., G. Nascetti, M. Capula and L. Bullini. 1984. Genetic relationships among west Mediterranean *Discoglossus* with the description of a new species (Amphibia Salientia Discoglossidae). *Monitore Zool. Ital.* 18: 133-152.
- Leon, P. E., and J. Kezer. 1974. The chromosomes of *Siren intermedia nettingi* (Goin) and their significance to comparative salamander karyology. *Herpetologica* 30: 1-11.
- Leon, P. E., and J. Kezer. 1978. Localization of 5s RNA genes on chromosomes of plethodontid salamanders. *Chromosoma* 65: 213-230.
- Lynch, J. F., and D. B. Wake. 1975. Systematics of the *Chiropetrotriton bromeliacia* group (Amphibia: Caudata), with description of two new species from Guatemala. *Contrib. Sci. Los Angeles Co. Mus. Nat. Hist.* 264: 1-45.
- Madl, J. E., and R. K. Herman. Polyploids and sex determination in *Caenorabditis elegans*. *Genetics* 93: 393-402.
- Mancino, G., M. Ragghianti, I. Nardi, and P. Andreuccetti. 1972. Sex chromosomes in newts. *Boll. Zool.* 39-639.
- Mancino, G., M. Ragghianti, and S. Bucci-Innocenti. 1973. Il cariotipi di *Triturus marmoratus* e *T. cristatus studiati* con il "C-staining method." *Rend. Acc. Naz. Lincei* 55: 559-564.
- Mancino, G., M. Ragghianti, and S. Bucci-Innocenti. 1977. Cytotaxonomy and cytogenetics in European newt species, pp. 411-447. *In* D. H. Taylor and S. I. Guttman (eds.), *The reproductive biology of amphibians*. Plenum Pub., New York.
- Morescalchi, A. 1964. Il corredo cromosomico di *Discoglossus pictus* Otth: cromosomi sessuali, spiralizzazione cromosomica e zone eterocromatiche. *Caryologia* 17: 327-345.
- Morescalchi, A. 1971. Comparative karyology of the Amphibia. *Boll. Zool.* 38: 317-320.
- Morescalchi, A. 1973. Amphibia, pp. 233-348. *In* A. B. Chiarelli and E. Capanbna (eds.), *Cytotaxonomy and vertebrate evolution*. Academic Press, New York.
- Morescalchi, A. 1975. Chromosome evolution in the caudate amphibia. *Evol. Biol.* 8: 339-387.
- Morescalchi, A. 1979. New developments in vertebrate cytotaxonomy. I. Cytotaxonomy of the amphibians. *Genetica* 50: 179-193.
- Moriwaki, T. 1959. Studies on matured parthenogenetic frogs. I. The development and reproductive ability. *J. Sci. Hiroshima Univ., Ser. B, Div. 1*, 17: 13-32.
- Ohno, S. 1987. Conservation *in toto* of the mammalian X-linkage group as a frozen accident. *Chromosomes Today* 9: 147-153.
- Orton, G. L. 1957. The bearing of larval evolution on some problems in frog classification. *Syst. Zool.* 6: 79-86.
- Nardi, I., F. Andronico, S. De Lucchini, and R. Batistoni. 1986. Cytogenetics of the European plethodontid salamanders of the genus *Hydromantes* (Amphibia, Urodela). *Chromosoma* 94: 377-388.
- Page, D. C., R. Mosher, E. M. Simpson, E. M. C. Fisher, G. Mardon, J. Pollack, B. McGillivray, A. de la Chappelle, and L. G. Brown. 1987. The sex-determining region of the human Y chromosome encodes a finger protein. *Cell* 51: 1091-1104.
- Panse, K. 1942. Sur la digamétie du crapaud hermaphrodite. *Rev. Suisse Zool.* 49: 185-189.

- Richards, C. M., and G. W. Nace. 1978. Gynogenetic and hormonal sex reversal used in tests of the XX-XY hypothesis of sex determination in *Rana pipiens*. *Growth* 42: 319-331.
- Schempp, W., and M. Schmid. 1981. Chromosome banding in Amphibia. VI. BrdU-replication patterns in Anura and demonstration of XX/XY sex chromosomes in *Rana esculenta*. *Chromosoma* 83: 697-710.
- Schmid, M. 1980a. Chromosome evolution in Amphibia, pp. 4-27. In H. Muller (ed.), *Cytogenetics of vertebrates*. Birkhauser, Basel.
- Schmid, M. 1980b. Chromosome banding in Amphibia. V. Highly differentiated ZW/ZZ sex chromosomes and exceptional genome size in *Pyxicephalus adspersus* (Anura, Ranidae). *Chromosoma* 80: 69-96.
- Schmid, M. 1983. Evolution of sex chromosomes and heterogametic systems in Amphibia. *Differentiation* 23 (Suppl.): S13-S22.
- Schmid, M. 1989. Origin and evolution of sex chromosomes in Amphibia: The cytogenetic data, pp. 37-56. In S. S. Wachtel (ed.), *Evolutionary Mechanisms in Sex Determination*. CRC Press, Boca Raton.
- Schmid, M., and K. Bachmann. 1981. A frog with highly evolved sex chromosomes. *Experientia* 37: 243-244.
- Schmid, M., J. Olert and C. Klett. 1979. Chromosome banding in Amphibia. III. Sex chromosomes in *Triturus*. *Chromosoma* 71: 29-55.
- Sessions, S. K. 1980. Evidence for a highly differentiated sex chromosome heteromorphism in the salamander *Necturus maculosus* (Rafinesque). *Chromosoma* 77: 157-168.
- Sessions, S. K. Cytogenetics of diploid and triploid salamanders of the *Ambystoma jeffersonianum* complex. *Chromosoma* 84: 599-621.
- Sessions, S. K. 1984. Cytogenetics and evolution in salamanders. Ph.D. dissertation, Univ. California, Berkeley.
- Sessions, S. K., and J. E. Wiley. 1985. Chromosome evolution in salamanders of the genus *Necturus*. *Brimleyana* 10: 37-52.
- Seto, T., C. M. Pomerat, and J. Kezer. 1964. The chromosomes of *Necturus maculosus* as revealed in cultures of leucocytes. *Am. Nat.* 98: 71-78.
- Shaffer, H. B. 1984. Evolution in a paedomorphic lineage. I. An electrophoretic analysis of the Mexican ambystomatid salamanders. *Evolution* 38: 1194-1206.
- Singh, L. 1974. Present status of sex chromosomes in amphibia. *Nucleus* 17: 17-27.
- Thorn, R. 1968. Les salamandres d'Europe, d'Asie, et d'Afrique du Nord. Ed. Paul Lechavalie, Paris.
- Tihen, J. A. 1969. *Ambystoma*. *Cat. Amer. Amphib. Rept.* 75: 1-4.
- Uzzell, T., R. Gunther and L. Berger. 1977. *Rana ridibunda* and *Rana esculenta*: a leaky hybridogenetic system (Amphibia, Salientia). *Proc. Acad. Sci. Philadelphia* 128: 147-171.
- Wachtel, S. F., G. C. Koo and E. A. Boyse, 1975. Evolutionary conservation of H-Y (male) antigen. *Nature* 254: 270-272.
- Wake, D. B. 1966. Comparative osteology and evolution of the lungless salamanders, family Plethodontidae. *Mem. S. California Acad. Sci.* 4: 1-111.
- Wake, D. B., and P. Elias. 1983. New genera and a new species of Central American salamanders, with a review of the tropical genera (Amphibia, Caudata, Plethodontidae). *Contrib. Sci. Los Angeles Co. Mus. Nat. Hist.* 345: 1-19.
- Wake, D. B., and N. Ozeti. 1969. Evolutionary relationships in the family Salamandridae. *Copeia* 1969: 124-137.
- Wake, D. B., L. R. Maxson, and G. Z. Wurst. 1978. Genetic differentiation, albumin evolution, and their biogeographic implications in plethodontid salamanders of California and southern Europe. *Evolution* 32: 529-539.
- Wallace, D. G., M.-C. King, and A. C. Wilson. 1973. Albumin differences among ranid frogs: taxonomic and phylogenetic implications. *Syst. Zool.* 22: 1-13.
- Weissenbach, J. A molecular analysis of the human Y chromosome. *Chromosomes Today* 9: 165-174.
- Witschi, E. 1923. Ergebnisse der neueren Arbeiten uber die Geschlechtsprobleme bei Amphibien. *Z. ind. Abst. Vereb.* 31: 287-312.



- Wright, D. A. and C. M. Richards. 1983. Two sex-linked loci in the leopard frog, *Rana pipiens*. *Genetics* 103: 249-261.
- Wright, D. A., C. M. Richards, J. S. Frost, A. M. Camozzi and B. J. Kunz, 1983. Genetic mapping in amphibians. *Isozymes: Current Topics Biol. Med. Res.* 10: 287-311.
- Yadav, J. S., and R. K. Pillai. 1976. Female heterogamety in 2 species of *Rana* (Ranidae, Anura). *Chromos. Inform. Serv. Tokyo* 20: 9-11.
- Yosida, T. H. (1957). Sex chromosomes of the tree frog (*Hyla arborea japonica*). *J. Fac. Sci. Hokkaido Univ. Ser. 6* 13: 352-358.
- Zaborski, P. 1979. Sur la constance de l'expression de l'antigène H-Y chez le sexe heterogametique de quelques Amphibiens et sur la mise en evidence d'un dimorphisme sexuel de l'expression de cet antigène chez l'Amphibien Anoure *Pelodytes punctatus* D.C.R. *Acad. Sci. Paris Ser. D.* 289: 1153-1156.

Received 25 Oct 1988;

accepted 15 June 1989.

Corresponding Editor: S. C. Stearns